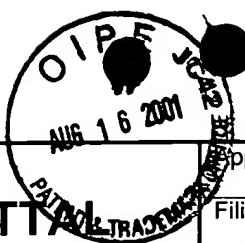


# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



1713 AF #

Application Number		09/396,266	
Filing Date		September 15, 1999	
First Named Inventor		Thomas H. Peterson	
Group Art Unit		1713	
Examiner Name		C. Caixia Lu	
Total Number of Pages in This Submission	3	Attorney Docket Number	1998U007A.US

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                 | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                                       |
| <input type="checkbox"/> Preliminary Amendment / Response                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                   |
| <input type="checkbox"/> After Final Affidavits/declaration(s)           | <input type="checkbox"/> Licensing-related Papers                                       | <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> Extension of Time Request            | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Express Abandonment Request                     | <input type="checkbox"/> To Convert a Provisional Application                           | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Information Disclosure Statement                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):                  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)          | <input type="checkbox"/> Terminal Disclaimer  | <u>Return Postcard</u>  |
| <input type="checkbox"/> Response to Missing Part/Incomplete Application | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Response to Missing Parts                       |   |   |

## REMARKS

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Lisa Kimes Jones	Registration No.	41,878
Signature			
Date	August 13, 2001		

RECEIVED  
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TC 1700 MAIL ROOM

## CERTIFICATE OF MAILING

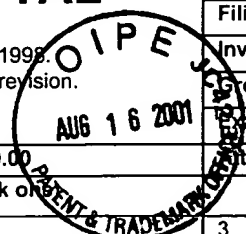
I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

August 13, 2001

Typed or printed name	Tammy L. Hodges		
Signature		Date	August 13, 2001

# FEE TRANSMITTAL

Note: Effective November 10, 1998.  
Patent fees are subject to annual revision.



Continuation if Known

Application Number 09/396,266  
Filing Date 09/15/99  
Inventors Peterson  
Group Art Unit 1713  
Examiner Name Lu, C  
Attorney Docket Number 1998U007A.US

Total Amount of Payment (\$)  
700.00

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

## FEE CALCULATION

### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101 710	Utility filing fee	
106 320	Design filing fee	
107 490	Plant filing fee	
108 710	Reissue filing fee	
114 150	Provisional filing fee	
SUBTOTAL (1)		

### 2. CLAIMS

Extra Fee from below Fee Paid  
Total Claims  - 20 =  0 x  18 =  .00  
Independent Claims  - 3 =  0 x  80 =  .00  
Multiple Dependent Claims  0 x  270.00 =  .00

### Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 80		Independent claims in excess of 3
104 270		Multiple dependent claim
109 80		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<input type="text"/> .00

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 390		Extension for reply within second month	390.00
117 890		Extension for reply within third month	
118 1,390		Extension for reply within fourth month	
128 1,890		Extension for reply within fifth month	
119 310		Notice of Appeal	310.00
120 310		Filing a brief in support of an appeal	
121 270		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,240		Petition to revive - unintentional	
142 1,240		Utility issue fee (or reissue)	
143 440		Design issue fee	
144 600		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 180		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
148 110		Statutory disclaimer	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

700.00

Typed or Printed Name Lisa Kimes Jones

Reg. No. 41,878

Signature

Date

August 13, 2001

Deposit Account User ID

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